



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vargas, Jaime S.; et. al.

Assignee: Cardica, Inc.

Title: Integrated Anastomosis System

Serial No.: 10/057,795

Filing Date: January 23, 2002

Examiner: Not yet assigned

Group Art Unit: 3731

Docket No.: 101

TECHNOLOGY CENTER R3700

MAY 21 2002

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner. In accordance with 37 CFR § 1.98 (d) and MPEP 609(I)(A)(2), copies of the references listed on the form PTO-1449 and explanations of relevance of any non-English-language references are not included, because these references have been submitted in the parent application, U.S. Patent Application serial no. 10/054,745, of which this application is a continuation-in-part under 37 CFR 1.53(b).

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
171 Jefferson Drive  
Menlo Park, CA 94025

5-16-02

3731



May 14, 2002

Commissioner For Patent  
Washington, D.C. 20231

Re: Applicant(s): Vargas, Jaime S.; et. al.  
Assignee: Cardica, Inc.  
Title: Integrated Anastomosis System  
Serial No.: 10/057,795  
Examiner: Not yet assigned  
Docket No.: 101

Filed: January 23, 2002  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO Form 1449; and
- (4) Return postcard.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

**CLAIMS AS AMENDED**

	Claims Remaining <u>After Amendment</u>		Highest No. Previously <u>Paid For</u>		Present <u>Extra</u>		<u>Rate</u>		Additional <u>Fee</u>
Total Claims	57	Minus	57	=	0	x	\$18	\$	0.00
Independent Claims	4	Minus	4	=	0	x	\$80	\$	0.00
<input type="checkbox"/>	Recordation fee under 37 CFR 1.21(h)								\$ 0.00
<input type="checkbox"/>	Fee under 37 CFR 1.17(p) for submitting Supplemental IDS								\$ 0.00
<b><u>Total additional fee for this Amendment:</u></b>								\$	<u>0.00</u>
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.								
<input type="checkbox"/>	Please charge our Deposit Account No. 502108 in the amount of								\$ <u>0.00</u>
<input checked="" type="checkbox"/>	Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502108								
<b>Total:</b>								\$	<u>0.00</u>

**EXPRESS MAIL LABEL NO.  
EV060921766US**

Respectfully submitted,

Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076

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